



This brochure supplement provides information about Brian Jerome Rice that supplements the Rice Wealth Management Group, Inc. brochure. You should have received a copy of that brochure. Please contact Brian Jerome Rice if you did not receive Rice Wealth Management Group, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Brian Jerome Rice is also available on the SEC's website at www.adviserinfo.sec.gov.

Rice Wealth Management Group, Inc.
Form ADV Part 2B – Individual Disclosure Brochure

for

Brian Jerome Rice

Personal CRD Number: 2103354
Investment Adviser Representative

Rice Wealth Management Group, Inc.
731 River Road
Fair Haven, NJ 07704
(732) 345-9001
brian.rice@ricewealthmgt.com

UPDATED: 01/31/2025

Item 2: Educational Background and Business Experience

Name: Brian Jerome Rice **Born:** 1969

Educational Background and Professional Designations:

Education:

Brian Jerome Rice has not received any higher education degrees after high school.

Business Background:

03/2020 - Present	President Rice Wealth Management Group, Inc.
09/1995 – 07/2020	Registered Investment Advisor Raymond James Financial Services Advisors, Inc.

Item 3: Disciplinary Information

Without notifying or obtaining approval from his prior firm, Brian Rice borrowed money from one of his clients through a company he partially owned and controlled for the purchase of commercial property. The client, who is Brian Rice's longtime friend and is financially sophisticated, intended to occupy space in said property. The loan, which was documented by a promissory note, was secured by the commercial property and has been fully repaid. In addition, Mr. Rice incorrectly stated in response to the prior firms' compliance questionnaire that he had not borrowed money from a client.

Item 4: Other Business Activities

Brian Jerome Rice is a licensed insurance agent who, from time to time, will offer clients information and advice on various insurance products as part of their overall Financial Plan. Clients are advised that some of these investment products pay a commission which could be construed as a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Rice Wealth Management Group, Inc. always acts in the best interest of the client. Clients always have the right to decide whether to utilize the services of any representative of Rice Wealth Management Group, Inc. in such a capacity. Brian Jerome Rice will only offer insurance in states where he is properly licensed.

Item 5: Additional Compensation

Brian Jerome Rice does not receive any economic benefit from any person, company, or organization, other than Rice Wealth Management Group, Inc. in exchange for providing clients advisory services through Rice Wealth Management Group, Inc.

Item 6: Supervision

As the Chief Compliance Officer of Rice Wealth Management Group, Inc, Brian J. Rice supervises all duties and activities of the firm. Brian's contact information is on the cover page of this disclosure document. Brian J. Rice adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

Item 7: Requirements for State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Brian Jerome Rice has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Brian Jerome Rice has NOT been the subject of bankruptcy.